



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICANT : Laibin Luo et al  
INVENTION: : PROCESS FOR THE PREPARATION OF AMPHIPHILIC  
POLY(N-VINYL-2-PYRROLIDONE) BLOCK  
COPOLYMERS  
SERIAL NUMBER : 10/727,083  
FILING DATE : December 2, 2003  
EXAMINER: : (not assigned yet)  
GROUP ART UNIT : 1714  
ATTORNEY DOCKET NO. : 2267.006

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**RESPONSE TO NOTICE TO FILE MISSING PARTS OF  
NONPROVISIONAL APPLICATION**

CERTIFICATE UNDER 37 CFR 1.8(a)  
I hereby certify that this correspondence is being deposited  
with the U.S. Postal Service as First Class mail in an envelope  
addressed to Commissioner for Patents, P.O. Box 1450,  
Alexandria, VA 22313-1450 on 9-14-2004

Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This is in response to the Notice to File Missing Parts of Nonprovisional Application under 37 CFR §1.53(b), the Notice being dated March 4, 2004.

Submitted herewith are:

- (a) a copy of the Notice to File Missing Parts;
- (b) a Declaration form executed by the inventors;
- (c) a Petition for Extension of Time with the appropriate fee of \$740.00 under 37 CFR §1.17(a)(4);

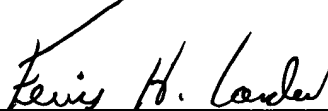
- (d) a check in the amount of \$1,411.00, which covers the basic filing fee of \$385.00, the additional claim fees of \$961.00, and the surcharge of \$65.00; and
- (e) a Petition to Revive an Unavoidably Abandoned Application with the appropriate fee of \$55.00 under 37 CFR §1.17(l).

Applicants respectfully submit that the above application is now in compliance with all of the outstanding filing requirements and request that this application be placed upon the files for examination.

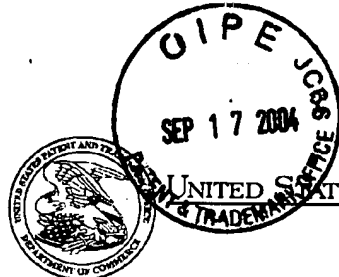
Date: \_\_\_\_\_

9/14/2004

Respectfully Submitted,



Ferris H. Lander  
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Page 1 of 2  
 JFW/pae  
 [Signature]

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/727,083	12/02/2003	Laibin Luo	2267.006

21917  
 MCHALE & SLAVIN, P.A.  
 2855 PGA BLVD  
 PALM BEACH GARDENS, FL 33410

CONFIRMATION NO. 6021

## FORMALITIES LETTER



\*OC000000012027697\*

Date Mailed: 03/04/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

09/20/2004 MMEKONEN 00000106 10727083

FILED UNDER 37 CFR 1.53(b)

01 FC:2001	385.00 OP
02 FC:2051	65.00 OP
03 FC:2202	918.00 OP
04 FC:2201	43.00 OP

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 385 to complete the basic filing fee for a small entity.*
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of \$961 as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$1411 for a Small Entity

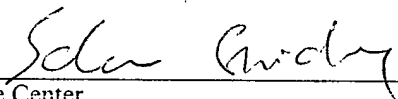
- \$385 Statutory basic filing fee.
- \$65 Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is \$961

- \$43 for 1 independent claims over 3.
- \$918 for 102 total claims over 20.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

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*A copy of this notice **MUST** be returned with the reply.*



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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE